

PATENT

Express Mail Label No.: EL 842426745 USCase Docket No.: 2001 P 08586 USDate: May 15, 2001

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Box PATENT APPLICATION

Transmitted herewith for filing is the patent application of:

Inventor(s): Xian J. NingFor: A Single RIE Process for MIMCAP Top and Bottom Plates

This application includes:

13 pages: specification and claims
3 sheets of drawings, formal/ x Informal
 photographs

Also enclosed is:

 An Assignment of the invention to Assignee(s):
x x Infineon Technologies North America Corp.
 International Business Machines Corporation
 A certified copy of application
x Declaration and Power of Attorney
 Information Disclosure Statement pursuant to 37 CFR 1.56
 Form PTO-1449
 Form PTO-1595
x Post Cards (2)

The filing fee has been calculated as shown below:

FOR:	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA RATE	OTHER THAN A SMALL ENTITY FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXXX \$710
TOTAL CLAIMS	17 - 20 =		X \$18 = \$0
INDEP CLAIMS	3 - 3 =		X \$80 = \$0
* MULTIPLE DEPENDENT CLAIM PRESENTED			+ 270 = \$0
ASSIGNMENT(S)	# <u>1</u>		X \$40 = \$40
			TOTAL <u>\$750.00</u>

Infineon Technologies North America Corp.
c/o Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, NJ 08830
Tel. (732) 321-3150
FAX: (732) 321-3014

36845 U.S. PTO
05/15/01

J1046 U.S. PTO
09/855894
05/15/01

00000004 054504

Respectfully submitted,

Date: May 11, 2001

05-1/6-01

8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xian J. Ning

Art Unit: TBD

Serial No.: TBD

Examiner: TBD

Filed: May 15, 2001

Docket: 2001 P 08586 US

For: A Single RIE Process for MIMCAP Top and Bottom Plates

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: **EL 842426745 US**

Date of Deposit: May 15, 2001

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: Patent, Assistant Commissioner for Patents, Washington, DC 20231:

- Transmittal Letter Original and 1 Duplicate (2 pages)
- Complete Copy of Patent Application (16 pages), to include:
 - Specification (13 pages)
 - Drawings (3 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Assignment (2 pages)
- Return Postcards (2)

Respectfully submitted,

Kay Houston

Slater & Matsil, L.L.P.
15150 Preston Road, Suite 300
Dallas, Texas 75248
(972) 401-9786 (telephone)
(972) 401-9787 (facsimile)

00000004-051501